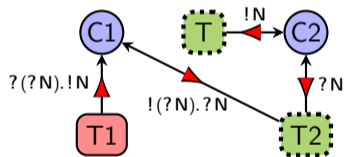
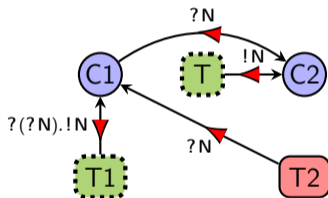


# Connectivity Graphs: A Method for Proving Deadlock Freedom Based on Separation Logic

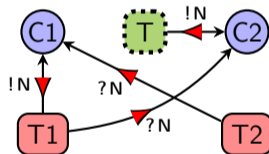
Jules Jacobs<sup>1</sup>



Robbert Krebbers<sup>1</sup>



Stephanie Balzer<sup>2</sup>



<sup>1</sup>Radboud University Nijmegen, The Netherlands

<sup>2</sup>Carnegie Mellon University, USA

## Session types

**Message passing concurrency with first-class channels (Honda [1993])**

$c : !Nat. ?Bool. !(?String. !Nat. End). End$

## Session types

Message passing concurrency with first-class channels (Honda [1993])

$$c : !Nat. ?Bool. !(?String. !Nat. End). End$$
$$\Downarrow \text{dual}$$
$$c' : ?Nat. !Bool. (?String. !Nat. End). End$$

GV: functional programming with session types

(Gay and Vasconcelos [2010], Wadler [2012])

$$\text{fork} : (s \xrightarrow{\text{lin}} 1) \rightarrow \bar{s}$$
$$\text{send} : (!t. s) \times t \xrightarrow{\text{lin}} s$$
$$\text{close} : \text{End} \xrightarrow{\text{lin}} 1$$
$$\text{receive} : ?t. s \xrightarrow{\text{lin}} s \times t$$
$$\text{let } c = \text{fork}(\lambda c'. \dots \text{receive}(c') \dots) \text{ in } \text{send}(c, 23) \dots$$

## What makes session types interesting

**Linear session types:** cannot copy or delete a channel reference before you are done

## What makes session types interesting

**Linear session types:** cannot copy or delete a channel reference before you are done

- ▶ Required for **type safety** (mechanized by Castro-Perez et al. [2020], Ciccone and Padovani [2020], Goto et al. [2016], Hinrichsen et al. [2021], Rouvoet et al. [2020], Thiemann [2019], ...)

## What makes session types interesting

**Linear session types:** cannot copy or delete a channel reference before you are done

- ▶ Required for **type safety** (mechanized by Castro-Perez et al. [2020], Ciccone and Padovani [2020], Goto et al. [2016], Hinrichsen et al. [2021], Rouvoet et al. [2020], Thiemann [2019], ...)
- ▶ But also guarantees **deadlock freedom, global progress** (well-known property – Caires & Pfenning, Wadler, Carbone – but not yet mechanized for first-class channels, i.e. dynamically allocated and higher order)

## Why session types give deadlock freedom

### Two owners per channel

- ▶ Duality of channel types  $\rightarrow$  no simple deadlocks
- ▶ Linear typing maintains acyclicity of ownership structure  $\rightarrow$  no cyclic deadlocks

# Why session types give deadlock freedom

## Two owners per channel

- ▶ Duality of channel types  $\rightarrow$  no simple deadlocks
- ▶ Linear typing maintains acyclicity of ownership structure  $\rightarrow$  no cyclic deadlocks

## Even when channels are asynchronous and first-class values:

- ▶ dynamically created
- ▶ sent as messages over channels
- ▶ stored in data structures
- ▶ captured by closures
- ▶ in Turing-complete language ( $\rightarrow$  termination argument doesn't work)



# Why session types give deadlock freedom

## Two owners per channel

- ▶ Duality of channel types  $\rightarrow$  no simple deadlocks
- ▶ Linear typing maintains acyclicity of ownership structure  $\rightarrow$  no cyclic deadlocks

## Even when channels are asynchronous and first-class values:

- ▶ dynamically created
- ▶ sent as messages over channels
- ▶ stored in data structures
- ▶ captured by closures
- ▶ in Turing-complete language ( $\rightarrow$  termination argument doesn't work)

**Difficult to reason about typing & graph structure simultaneously**

## Contribution: connectivity graph proof method

### This work: **connectivity graphs**

- ▶ Method for factoring out graph reasoning from reasoning about typing
- ▶ Mechanized in the Coq proof assistant
- ▶ Applied to prove deadlock freedom for feature-rich session-typed language
- ▶ Abstract representation of run-time configuration

## Contribution: connectivity graph proof method

### This work: **connectivity graphs**

- ▶ Method for factoring out graph reasoning from reasoning about typing
- ▶ Mechanized in the Coq proof assistant
- ▶ Applied to prove deadlock freedom for feature-rich session-typed language
- ▶ Abstract representation of run-time configuration

### Run-time configuration $\rho$

Threads:  $\{T_1 \mapsto e_1, \dots, T_6 \mapsto e_6\}$

Channels:  $\{C_1 \mapsto \text{buf}_1, \dots, C_5 \mapsto \text{buf}_5\}$

## Contribution: connectivity graph proof method

### This work: **connectivity graphs**

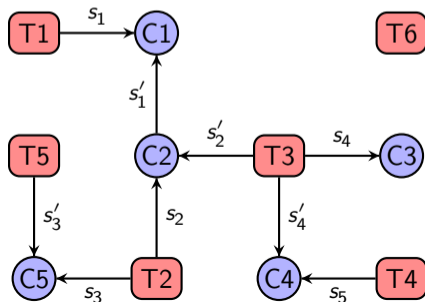
- ▶ Method for factoring out graph reasoning from reasoning about typing
- ▶ Mechanized in the Coq proof assistant
- ▶ Applied to prove deadlock freedom for feature-rich session-typed language
- ▶ Abstract representation of run-time configuration

### Run-time configuration $\rho$

Threads:  $\{T_1 \mapsto e_1, \dots, T_6 \mapsto e_6\}$

Channels:  $\{C_1 \mapsto \text{buf}_1, \dots, C_5 \mapsto \text{buf}_5\}$

### Connectivity graph $G$



## Contribution: connectivity graph proof method

### This work: **connectivity graphs**

- ▶ Method for factoring out graph reasoning from reasoning about typing
- ▶ Mechanized in the Coq proof assistant
- ▶ Applied to prove deadlock freedom for feature-rich session-typed language
- ▶ Abstract representation of run-time configuration

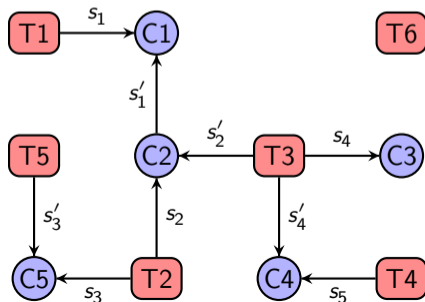
### Run-time configuration $\rho$

Threads:  $\{T_1 \mapsto e_1, \dots, T_6 \mapsto e_6\}$

Channels:  $\{C_1 \mapsto \text{buf}_1, \dots, C_5 \mapsto \text{buf}_5\}$

$$G \vDash \rho$$
$$wf(\rho) := \exists G. G \vDash \rho$$

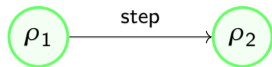
### Connectivity graph $G$



## Connectivity graph proof based on progress and preservation

$\rho_1$

## Connectivity graph proof based on progress and preservation

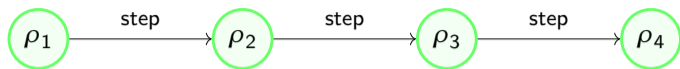


## Connectivity graph proof based on progress and preservation





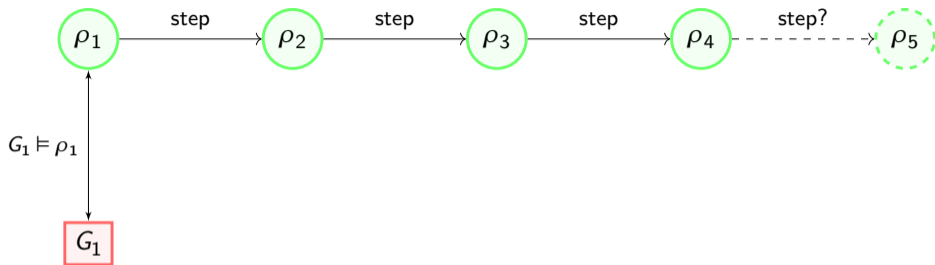
## Connectivity graph proof based on progress and preservation



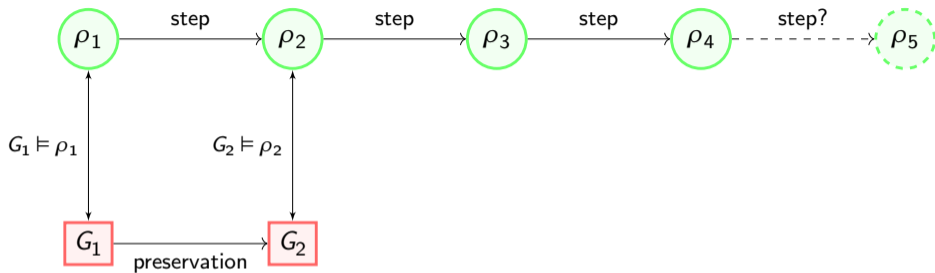
## Connectivity graph proof based on progress and preservation



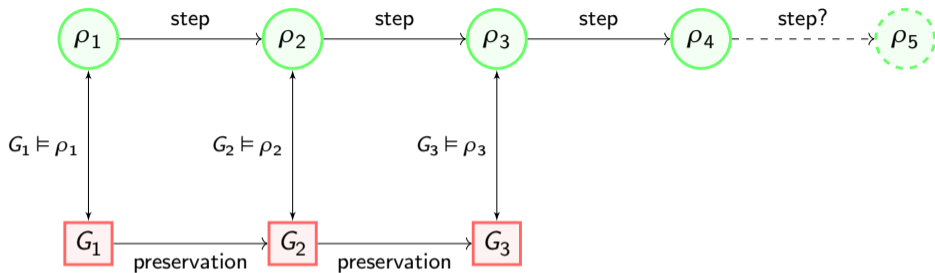
# Connectivity graph proof based on progress and preservation



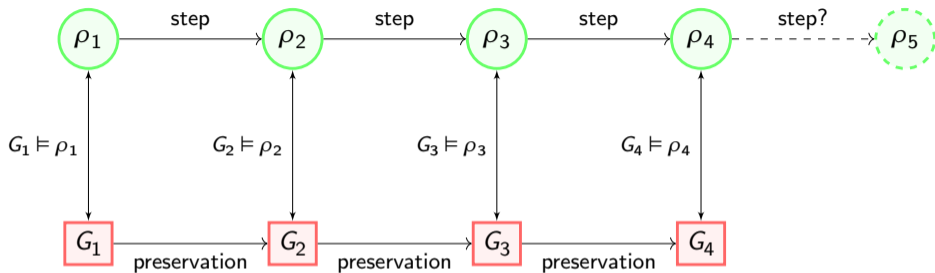
# Connectivity graph proof based on progress and preservation



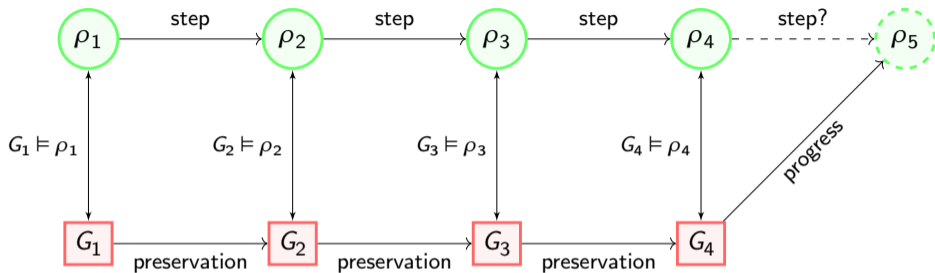
# Connectivity graph proof based on progress and preservation



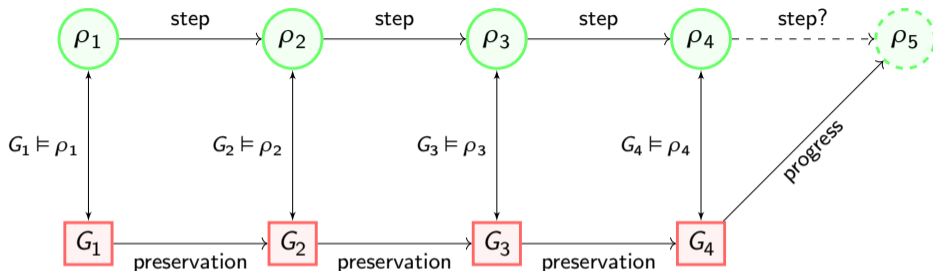
# Connectivity graph proof based on progress and preservation



# Connectivity graph proof based on progress and preservation



## Connectivity graph proof based on progress and preservation



### Connectivity graph framework:

- ▶  $Cgraph(V, L)$  data type for acyclic labeled graphs
- ▶ Generic construction for  $wf(\rho) := \overline{wf}(P_\rho)$ 
  - ▶ Parameterized by local separation logic predicate  $P_\rho(v)$  for each vertex  $v \in G$
- ▶ Preservation: graph transformations in separation logic
- ▶ Progress: waiting induction principle for  $Cgraph(V, L)$

All generic over vertices  $V$  and labels  $L$



**Linear heap typing in separation logic:** (cf. Rouvoet [2020]'s definitional interpreters)

$$\frac{\Sigma_1 \vdash e_1 : \tau_1 \quad \Sigma_2 \vdash e_2 : \tau_2 \quad \Sigma_1 \cap \Sigma_2 = \emptyset}{\Sigma_1 \cup \Sigma_2 \vdash (e_1, e_2) : \tau_1 \times \tau_2}$$

**Linear heap typing in separation logic:** (cf. Rouvoet [2020]'s definitional interpreters)

$$\frac{\Sigma_1 \vdash e_1 : \tau_1 \quad \Sigma_2 \vdash e_2 : \tau_2 \quad \Sigma_1 \cap \Sigma_2 = \emptyset}{\Sigma_1 \cup \Sigma_2 \vdash (e_1, e_2) : \tau_1 \times \tau_2} \quad \Rightarrow \quad \frac{e_1 : \tau_1 * e_2 : \tau_2}{(e_1, e_2) : \tau_1 \times \tau_2} *$$

## Linear heap typing in separation logic: (cf. Rouvoet [2020]'s definitional interpreters)

$$\frac{\Sigma_1 \vdash e_1 : \tau_1 \quad \Sigma_2 \vdash e_2 : \tau_2 \quad \Sigma_1 \cap \Sigma_2 = \emptyset}{\Sigma_1 \cup \Sigma_2 \vdash (e_1, e_2) : \tau_1 \times \tau_2}$$

$$\frac{\Sigma = \{\text{Chan}(a) \mapsto (t, s)\}}{\Sigma \vdash \#a_t : s}$$

$$\Rightarrow \frac{e_1 : \tau_1 * e_2 : \tau_2}{(e_1, e_2) : \tau_1 \times \tau_2} *$$

$$\Rightarrow \frac{\text{own}(\text{Chan}(a) \mapsto (t, s))}{\#a_t : s} *$$

**Linear heap typing in separation logic:** (cf. Rouvoet [2020]'s definitional interpreters)

$$\frac{\Sigma_1 \vdash e_1 : \tau_1 \quad \Sigma_2 \vdash e_2 : \tau_2 \quad \Sigma_1 \cap \Sigma_2 = \emptyset}{\Sigma_1 \cup \Sigma_2 \vdash (e_1, e_2) : \tau_1 \times \tau_2} \quad \Rightarrow \quad \frac{e_1 : \tau_1 * e_2 : \tau_2}{(e_1, e_2) : \tau_1 \times \tau_2} *$$
$$\frac{\Sigma = \{\text{Chan}(a) \mapsto (t, s)\}}{\Sigma \vdash \#a_t : s} \quad \Rightarrow \quad \frac{\text{own}(\text{Chan}(a) \mapsto (t, s))}{\#a_t : s} *$$

**For vertex  $v$  in the graph, separation logic resource  $\Sigma = \text{OutEdges}(v)$**

**Linear heap typing in separation logic:** (cf. Rouvoet [2020]'s definitional interpreters)

$$\frac{\Sigma_1 \vdash e_1 : \tau_1 \quad \Sigma_2 \vdash e_2 : \tau_2 \quad \Sigma_1 \cap \Sigma_2 = \emptyset}{\Sigma_1 \cup \Sigma_2 \vdash (e_1, e_2) : \tau_1 \times \tau_2} \quad \Rightarrow \quad \frac{e_1 : \tau_1 * e_2 : \tau_2}{(e_1, e_2) : \tau_1 \times \tau_2} *$$

$$\frac{\Sigma = \{\text{Chan}(a) \mapsto (t, s)\}}{\Sigma \vdash \#a_t : s} \quad \Rightarrow \quad \frac{\text{own}(\text{Chan}(a) \mapsto (t, s))}{\#a_t : s} *$$

**For vertex  $v$  in the graph, separation logic resource  $\Sigma = \text{OutEdges}(v)$**

**Lemmas in separation logic:**

$$(\Sigma \vdash K[e] : B) \iff \exists A, \Sigma_1, \Sigma_2. (\Sigma_1 \cap \Sigma_2 = \emptyset) \wedge (\Sigma = \Sigma_1 \cup \Sigma_2) \wedge (\Sigma_1 \vdash e : A) \wedge \\ \forall e', \Sigma_3. (\Sigma_2 \cap \Sigma_3 = \emptyset) \wedge (\Sigma_2 \vdash e' : A) \rightarrow (\Sigma_2 \cup \Sigma_3 \vdash K[e'] : B)$$

**Linear heap typing in separation logic:** (cf. Rouvoet [2020]'s definitional interpreters)

$$\frac{\Sigma_1 \vdash e_1 : \tau_1 \quad \Sigma_2 \vdash e_2 : \tau_2 \quad \Sigma_1 \cap \Sigma_2 = \emptyset}{\Sigma_1 \cup \Sigma_2 \vdash (e_1, e_2) : \tau_1 \times \tau_2} \quad \Rightarrow \quad \frac{e_1 : \tau_1 * e_2 : \tau_2}{(e_1, e_2) : \tau_1 \times \tau_2} *$$

$$\frac{\Sigma = \{\text{Chan}(a) \mapsto (t, s)\}}{\Sigma \vdash \#a_t : s} \quad \Rightarrow \quad \frac{\text{own}(\text{Chan}(a) \mapsto (t, s))}{\#a_t : s} *$$

**For vertex  $v$  in the graph, separation logic resource  $\Sigma = \text{OutEdges}(v)$**

**Lemmas in separation logic:**

$$(\Sigma \vdash K[e] : B) \iff \exists A, \Sigma_1, \Sigma_2. (\Sigma_1 \cap \Sigma_2 = \emptyset) \wedge (\Sigma = \Sigma_1 \cup \Sigma_2) \wedge (\Sigma_1 \vdash e : A) \wedge$$

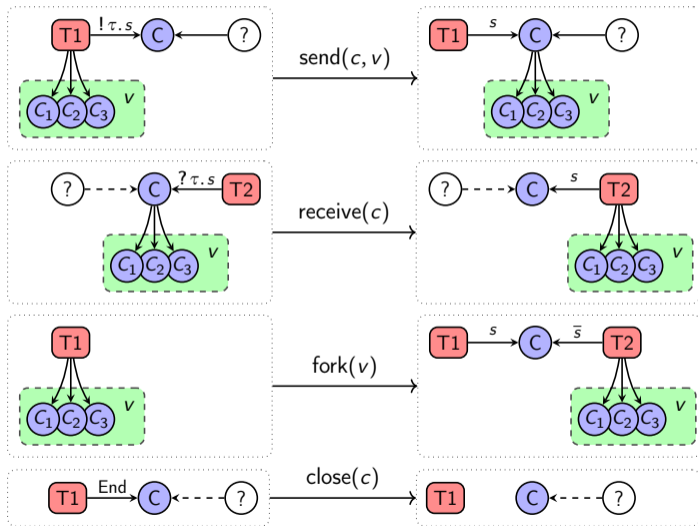
$$\forall e', \Sigma_3. (\Sigma_2 \cap \Sigma_3 = \emptyset) \wedge (\Sigma_2 \vdash e' : A) \rightarrow (\Sigma_2 \cup \Sigma_3 \vdash K[e'] : B)$$

$$\Rightarrow$$

$$(K[e] : B) \dashv\vdash \exists A. (e : A) * \forall e'. (e' : A) \multimap (K[e'] : B)$$

**We use the Iris proof mode to reason in separation logic** (Krebbers et al. [2017])

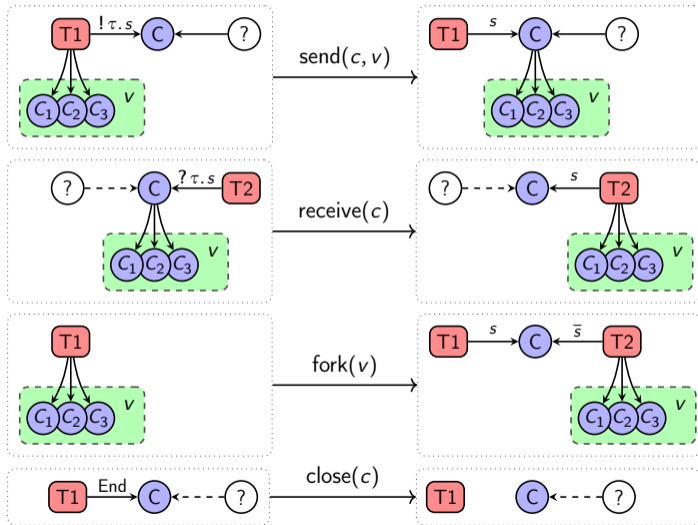
# Preservation via local graph transformations



**Preserves:**

- ▶ Acyclicity
- ▶ Local predicates  $P_\rho(v)$  used for  $\overline{wf}(P_\rho)$

# Preservation via local graph transformations



Preserves:

- ▶ Acyclicity
- ▶ Local predicates  $P_\rho(v)$  used for  $\overline{wf}(P_\rho)$

In separation logic: if

$$P_\rho(T_1) * (\text{own}(C \mapsto \ell) \multimap P_\rho(C)) \vdash$$

$$(\text{own}(C \mapsto \ell') \multimap P_{\rho'}(T_1)) * P_{\rho'}(C)$$

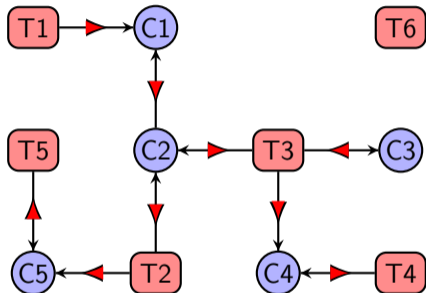
then:  $\overline{wf}(P_\rho) \rightarrow \overline{wf}(P_{\rho'})$

Explained in our paper!



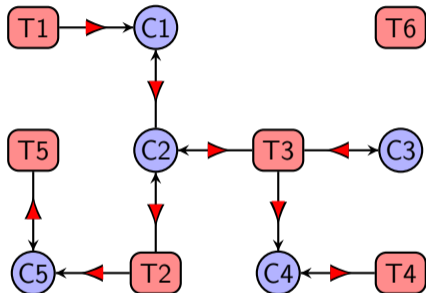
## Progress via waiting induction

Connectivity graph with *waiting dependencies* (▶)  
derived from run-time configuration



## Progress via waiting induction

Connectivity graph with *waiting dependencies* (▶)  
derived from run-time configuration



### Lemma (Waiting induction)

Let  $R(v, w)$  be any relation on the vertices. To prove  $P(v)$ , we may assume  $P(w)$  for all  $w$  such that  $v \rightarrow w$  and  $R(v, w)$ , or  $w \rightarrow v$  and  $\neg R(w, v)$

# Our language

**Functional language + session-typed channels** (extension of Wadler [2012]'s GV)

## Unrestricted and linear types

- ▶ Unrestricted: numbers, sums, products, unrestricted function type ( $\rightarrow$ )
- ▶ Linear: channels, sums, products, linear function type ( $\multimap$ )

## General recursive types:

- ▶ Recursive session types, including through the message (example:  $\mu X. !X.End$ )
- ▶ Algebraic data types using recursion + sums + products
- ▶ Recursive types mechanized using coinduction (Gay et al. [2020])

## Stronger deadlock and leak freedom result

Global progress is the standard notion that people use

**Our POPL reviewers: Can your method prove something stronger?**

## Stronger deadlock and leak freedom result

Global progress is the standard notion that people use

**Our POPL reviewers: Can your method prove something stronger?**

**Partial deadlock:** a set  $S$  of threads and channels such that:

1. All threads in  $S$  are blocked on a channel in  $S$
2. No references to channels in  $S$  from outside  $S$

## Stronger deadlock and leak freedom result

Global progress is the standard notion that people use

**Our POPL reviewers: Can your method prove something stronger?**

**Partial deadlock:** a set  $S$  of threads and channels such that:

1. All threads in  $S$  are blocked on a channel in  $S$
2. No references to channels in  $S$  from outside  $S$

**Strong reachability:**

1. A channel is reachable if it is referenced by a reachable channel or thread
2. A thread is reachable if it can step, or is blocked on a reachable channel

## Stronger deadlock and leak freedom result

Global progress is the standard notion that people use

**Our POPL reviewers: Can your method prove something stronger?**

**Partial deadlock:** a set  $S$  of threads and channels such that:

1. All threads in  $S$  are blocked on a channel in  $S$
2. No references to channels in  $S$  from outside  $S$

**Strong reachability:**

1. A channel is reachable if it is referenced by a reachable channel or thread
2. A thread is reachable if it can step, or is blocked on a reachable channel

**Lemma.** All threads and channels are reachable  $\iff$  no partial deadlock

## Stronger deadlock and leak freedom result

Global progress is the standard notion that people use

**Our POPL reviewers: Can your method prove something stronger?**

**Partial deadlock:** a set  $S$  of threads and channels such that:

1. All threads in  $S$  are blocked on a channel in  $S$
2. No references to channels in  $S$  from outside  $S$

**Strong reachability:**

1. A channel is reachable if it is referenced by a reachable channel or thread
2. A thread is reachable if it can step, or is blocked on a reachable channel

**Lemma.** All threads and channels are reachable  $\iff$  no partial deadlock

**Lemma.** Any thread or channel is reachable  $\implies$  global progress



## Stronger deadlock and leak freedom result

Global progress is the standard notion that people use

**Our POPL reviewers: Can your method prove something stronger?**

**Partial deadlock:** a set  $S$  of threads and channels such that:

1. All threads in  $S$  are blocked on a channel in  $S$
2. No references to channels in  $S$  from outside  $S$

**Strong reachability:**

1. A channel is reachable if it is referenced by a reachable channel or thread
2. A thread is reachable if it can step, or is blocked on a reachable channel

**Lemma.** All threads and channels are reachable  $\iff$  no partial deadlock

**Lemma.** Any thread or channel is reachable  $\implies$  global progress

**Theorem.** For well-typed initial programs, no partial deadlock occurs

# Mechanization

## Mechanization in Coq:

- ▶ Generic  $Cgraph(V, L)$  library: 4999 LOC
- ▶ GV language definition: 451 LOC
- ▶ Language specific deadlock and leak freedom proof: 1688 LOC

<https://github.com/julesjacobs/cgraphs>



# Mechanization

## Mechanization in Coq:

- ▶ Generic  $Cgraph(V, L)$  library: 4999 LOC
- ▶ GV language definition: 451 LOC
- ▶ Language specific deadlock and leak freedom proof: 1688 LOC

<https://github.com/julesjacobs/cgraphs>

Initial direct attempt: **proofs goals got too complex.**

**Graph reasoning intertwined with language specifics.**

**Encapsulating the graph reasoning made it manageable.**



## Other deadlock freedom proofs

### $\mu\mathbf{GV}$

- ▶ Linear lambda calculus + fork with single-shot atomic exchange
- ▶  $fork : ((a \xrightarrow{lin} b) \xrightarrow{lin} 1) \rightarrow (b \xrightarrow{lin} a)$
- ▶ Global progress & deadlock freedom in Coq (1478 LOC)

## Other deadlock freedom proofs

### $\mu\mathbf{GV}$

- ▶ Linear lambda calculus + fork with single-shot atomic exchange
- ▶  $fork : ((a \xrightarrow{lin} b) \xrightarrow{lin} 1) \rightarrow (b \xrightarrow{lin} a)$
- ▶ Global progress & deadlock freedom in Coq (1478 LOC)

### **Multiparty session types**

- ▶ Multiparty session types  $>$  binary session types?

## Other deadlock freedom proofs

### $\mu\mathbf{GV}$

- ▶ Linear lambda calculus + fork with single-shot atomic exchange
- ▶  $fork : ((a \xrightarrow{lin} b) \xrightarrow{lin} 1) \rightarrow (b \xrightarrow{lin} a)$
- ▶ Global progress & deadlock freedom in Coq (1478 LOC)

### Multiparty session types

- ▶ Multiparty session types  $>$  binary session types? **No!**

## Other deadlock freedom proofs

### $\mu\mathbf{GV}$

- ▶ Linear lambda calculus + fork with single-shot atomic exchange
- ▶  $fork : ((a \xrightarrow{lin} b) \xrightarrow{lin} 1) \rightarrow (b \xrightarrow{lin} a)$
- ▶ Global progress & deadlock freedom in Coq (1478 LOC)

### Multiparty session types

- ▶ Multiparty session types  $>$  binary session types? **No!**
- ▶ Multiparty literature has focused on:
  1. Single-session deadlock freedom
  2. Lock-order based deadlock freedom

## Other deadlock freedom proofs

### $\mu\mathbf{GV}$

- ▶ Linear lambda calculus + fork with single-shot atomic exchange
- ▶  $fork : ((a \xrightarrow{lin} b) \xrightarrow{lin} 1) \rightarrow (b \xrightarrow{lin} a)$
- ▶ Global progress & deadlock freedom in Coq (1478 LOC)

### Multiparty session types

- ▶ Multiparty session types  $>$  binary session types? **No!**
- ▶ Multiparty literature has focused on:
  1. Single-session deadlock freedom
  2. Lock-order based deadlock freedom
- ▶ **MPGV:**
  1. Deadlock freedom for *all* well-typed programs
  2. MPGV multiparty session types  $>$  binary session types
  3. Global progress & deadlock freedom in Coq (10400 LOC)



# Questions?

julesjacobs@gmail.com

- D. Castro-Perez, F. Ferreira, and N. Yoshida. EMTST: engineering the meta-theory of session types. In *TACAS (2)*, volume 12079 of *LNCS*, pages 278–285, 2020. doi: 10.1007/978-3-030-45237-7\_17. URL [https://doi.org/10.1007/978-3-030-45237-7\\_17](https://doi.org/10.1007/978-3-030-45237-7_17).
- L. Ciccone and L. Padovani. A dependently typed linear  $\pi$ -calculus in agda. In *PPDP*, pages 8:1–8:14, 2020. doi: 10.1145/3414080.3414109. URL <https://doi.org/10.1145/3414080.3414109>.
- S. J. Gay and V. T. Vasconcelos. Linear type theory for asynchronous session types. *JFP*, 20(1):19–50, 2010. doi: 10.1017/S0956796809990268. URL <https://doi.org/10.1017/S0956796809990268>.
- S. J. Gay, P. Thiemann, and V. T. Vasconcelos. Duality of session types: The final cut. In *PLACES@ETAPS*, volume 314 of *EPTCS*, pages 23–33, 2020. doi: 10.4204/EPTCS.314.3. URL <https://doi.org/10.4204/EPTCS.314.3>.
- M. A. Goto, R. Jagadeesan, A. Jeffrey, C. Pitcher, and J. Riely. An extensible approach to session polymorphism. *MSCS*, 26(3):465–509, 2016. doi: 10.1017/S0960129514000231. URL <https://doi.org/10.1017/S0960129514000231>.

- J. K. Hinrichsen, D. Louwrik, R. Krebbers, and J. Bengtson. Machine-checked semantic session typing. In *CPP*, pages 178–198, 2021. doi: 10.1145/3437992.3439914. URL <https://doi.org/10.1145/3437992.3439914>.
- K. Honda. Types for dyadic interaction. In *CONCUR*, volume 715 of *LNCS*, pages 509–523, 1993. doi: 10.1007/3-540-57208-2\\_35. URL [https://doi.org/10.1007/3-540-57208-2\\_35](https://doi.org/10.1007/3-540-57208-2_35).
- R. Krebbers, A. Timany, and L. Birkedal. Interactive proofs in higher-order concurrent separation logic. In *POPL*, pages 205–217, 2017. doi: 10.1145/3009837.3009855. URL <https://doi.org/10.1145/3009837.3009855>.
- A. Rouvoet, C. Bach Poulsen, R. Krebbers, and E. Visser. Intrinsically-typed definitional interpreters for linear, session-typed languages. In *CPP*, 2020. ISBN 9781450370974. doi: 10.1145/3372885.3373818. URL <https://doi.org/10.1145/3372885.3373818>.
- P. Thiemann. Intrinsically-typed mechanized semantics for session types. In *PPDP*, 2019. ISBN 9781450372497. doi: 10.1145/3354166.3354184. URL <https://doi.org/10.1145/3354166.3354184>.

P. Wadler. Propositions as sessions. In *ICFP*, pages 273–286, 2012. doi:  
10.1145/2364527.2364568. URL  
<https://doi.org/10.1145/2364527.2364568>.